RAFI (the Rural Advancement Foundation International) is an international non-governmental organization with headquarters in Ottawa, Canada, and is governed by an 13-member Board of Trustees. RAFI is concerned about long-term human food security, and particularly about the plant genetic resources that nurture human life.

For 15 years, RAFI has worked with farmers' organizations, other rural NGOs, and concerned citizens worldwide to promote sustainable agriculture and to confront the conditions, policies and practices that threaten global food security.

RAFI is particularly alarmed that genetic diversity is being lost in the world's major staple food crops. Modern agriculture, with its massive introduction of uniform seeds (especially the high response varieties of the green revolution), has led to genetic erosion—a dramatic decrease in the genetic diversity of food crops, especially in Africa, Asia, the Middle East and Latin America, where most of the world's staple foods originated, and where their genetic diversity is greatest.

This report will summarize RAFI's activities for the year ended 31 August 1992. It will also provide a retrospective of 15 years of RAFI's work.
A MESSAGE FROM THE PRESIDENT

I am delighted to offer you this Annual Report for RAIF for the fiscal year ending 31 August, 1992. I hope that you will read it carefully, share it with colleagues, and join with me in admiration for the accomplishments of this remarkable voluntary organization.

RAIF is, in many ways, unique. Within these pages, you will find a highly-abbreviated account of RAIF's main activities since its inception. It has been 15 years since a small band of international agricultural advocates and researchers gathered in the winter of 1977 in Saskatchewan, Canada to discuss “seeds”.

Since that historic meeting, RAIF has brought the question of declining crop genetic diversity to the world's attention. Under RAIF's intensive efforts, and together with sister NGOs, this obscure scientific concern became a central issue in the international agricultural research and development community.

In the 1970s, RAIF warned that the green revolution and commercial seed varieties were leading to massive erosion of the world's genetic stock for food crops. This led in time to the creation of new UN organs and legal instruments to conserve genetic diversity, and was most recently reflected in the Earth Summit's Biodiversity Treaty and Agenda 21.

As RAIF evolved, “seeds” became “plant genetic resources”, and RAIF cast its attention more widely to include all agricultural diversity. It began to sound an alarm about the potential impact of new-biotechnologies which threaten to change world agriculture at least as dramatically as the green revolution had done.

RAIF's focus and activities enlarged, but its purpose remained constant. The campaigners that forced governments and industry to look at the politics of genetic resources in the 1970s and early 1980s continue to address agricultural policy matters. They have also shown their ability to be diplomats and negotiators.

During 1992, I heard RAIF variously described as the “court jester” of the global agricultural research system, and as the “iron butterfly” of sustainable agriculture and biodiversity, “moving between the grassroots and the rarified upper-atmosphere of UN negotiations”.

RAIF's research - exemplified this year by the excellent communiques on pyrethrum and potatoes prepared by Hope Shand - has earned it considerable respect.

RAIF analysis has also been widely sought by NGOs, governments and multilateral bodies - on the implications and choices involved in the UNCED negotiations, and on the future role of international agricultural institutions. Perhaps most of all, RAIF has won respect and admiration for its often-unorthodox creativity in bringing new ideas, perspectives and strategies to world attention.

The surprise for many readers will be that the RAIF they have known for fifteen years soared to a complement of six persons this year.

But the more RAIF changes, the more it stays the same. Of the little crew that first met in 1977 - each from a different organization, several now work as RAIF staff. Ken Shipley became RAIF's Director of Operations in 1992. Jean Christie joined us to work on the biopolicy agenda, based temporarily in Australia. Hope Shand, though not at that first meeting, joined RAIF only months later, to work with Cary Fowler in RAIF-USA. She developed RAIF's focus on biotechnology from a vantage-point overlooking North Carolina's Research Triangle Park, and returned this year from study to continue that work. Cary now works with the UN Food and Agriculture Organization, directing preparations for what we think of as the "Seeds of the Earth Summit" in 1995. Pat Mooney, who organized the 1977 meeting, commutes between Manitoba and the RAIF headquarters in Ottawa, as Executive Director. Bev Cross completed ten years with RAIF as office manager, researcher, and traffic director in Ottawa, and Kathy Zamuise completed five years of research and administration with RAIF-USA.

This annual report commemorates not only a 15th anniversary for RAIF, but also a first. As many of our friends well know, RAIF has never stood much on ceremony. We have never before published an annual report. Although RAIF began work in 1977, it was not legally incorporated until 1985. Until 1990, RAIF activities and finances were managed by the US-based National Sharecroppers' Fund/Rural Advancement Fund. Following a restructuring, RAIF opened an international office in Ottawa. We are especially grateful to the Canadian International Development Agency for funding the establishment of the Ottawa office.

With the recently-changed structure, RAIF's Board took steps to institutionalize development's “iron butterfly”, and strengthen its own role. Always active, if sometimes free-wheeling, the Board formalized its Executive and Program Committees and added three strong new members in 1992. Erskine Childers, formerly a senior official in the UN Secretariat; Ambassador Amir Jamal of Tanzania, spiritual leader of The South Centre in Geneva; and Ursula Schulz-Dornburg, NGO campaigner from Germany, all agreed to join RAIF's Board after years of support to RAIF in other fora.

The Board also recognized Pat Mooney's place in the organization by formalizing his title of Executive Director.

RAIF has always been a pioneer in taking on issues such as genetic resources conservation, biotechnology, and intellectual property concerns long before they become well known and popular. This is not likely to change.

I am confident that RAIF will continue to be the challenging campaigner it has always been. I am also confident that the institutional steps we have taken in 1992 will make it a still more formidable “butterfly” in the years ahead.

Sven Hamrell
President
Board of Trustees
RAFI – 15 years of achievement

In the late 1970s, RAFI
- first brought the loss of Third World genetic diversity to public attention at an international meeting in Canada;
- published the "Graham Centre Seed Directory", calling attention to corporate concentration in the seed industry as a threat to agricultural diversity, and providing a list of traditional seed companies in the USA, Canada and the UK;
- published "Seeds of the Earth" in English, French, Spanish, German, Italian and Japanese;
- made presentations at the UN Conference on Science & Technology for Development in Vienna, and to FAO in Rome.

In the 1980s, RAFI
- raised concerns about genetic erosion through campaigns and speaking tours in 46 countries in Europe, Asia, Africa, Latin America and Australia;
- cooperated with Third World delegates at UNCTAD in Geneva;
- published with the Dag Hammarskjold Foundation "The Law of the Seed", which addressed the loss of genetic resources and broadened the debate to include biotechnology;
- with Third World governments and other NGOs, successfully encouraged FAO to adopt The International Undertaking on Plant Genetic Resources;
- encouraged FAO to establish the Commission on Plant Genetic Resources;
- was incorporated in the Netherlands with an international Board of Trustees;
- edited the first 'Advance Technology Alert System Bulletin' for UNCSTD;
- undertook a global tour to raise awareness of FAO's Commission on Plant Genetic Resources, which more than 100 countries joined;
- received The Right Livelihood Award in the parliament of Sweden;
- published "The Community Seed Bank Kit" in English, French, Spanish and Portuguese, to help Third World NGOs establish community-based genetic conservation programs;
- began research on biotechnology and genetic resources, and published the first of 27 (to date) "RAFI Communiques" for circulation worldwide;
- lobbied for the adoption of Farmer's Rights by the FAO Commission, and called for an International Fund for Plant Genetic Resources;
- negotiated the first formal contributions to the Genetic Resources Fund from various US foundations;
- with the Dag Hammarskjold Foundation, convened the first international conference on socioeconomic and environmental impacts of emerging biotechnologies, which produced "The Boguwe Declaration";
- held a seminar for Third World delegations to the UN on biotechnology and biological diversity;
- did a survey of seed conservation in Africa, and with the Plant Genetic Resources Centre of Ethiopia, held a workshop for farmers, NGOs, scientists and government officials on genetic resources and biotechnology;
- similar workshops and surveys were conducted in Asia and Latin America;
- the Latin America workshop prepared an 'Ethical Code of Conduct for Plant Collectors' and a "Code of Conduct on Biotechnology";
- with Third World NGOs proposed both codes of conduct to FAO for adoption. The code for plant collectors has been adopted. The code on biotechnology is still pending;
- with the Dag Hammarskjold Foundation, published "The Laws of Life", a comprehensive examination of the socioeconomic and environmental impact of new biotechnologies on the Third World;
- organized a consortium of Canadian NGOs to develop a 5-year, $1.3 million programme for genetic conservation in Africa;
- participated in the World International Dialogue on Plant Genetic Resources, an off-the-record negotiating between governments, NGOs, and industry. Invited to join the Steering Committee for the International Dialogue to review the Dialogue;
- supported ENDA Zimbabwe in the first SADCC (Southern Africa Development Coordinating Conference) conference on biotechnology and genetic resources;
- at a roundtable of African scientists in Nairobi, introduced for the first time, the concept of the informal innovation system, which appeared in Agenda 21, an environmental and development strategy for the next century;
- supported the Dag Hammarskjold Foundation in its first Nordic Symposium on Biotechnology at which governments discussed the impact of biotechnology on the Third World;

In the 1990s, RAFI
- was invited by the United States Department of Agriculture to facilitate meetings between the US Government and FAO to allow the USA to join the FAO Commission and Undertaking on Plant Genetic Resources. Outcomes: acceptance by FAO and USA of concept of quid pro quo between breeders' stocks and Third World un-commercialized genetic stocks; de-linking of new gene fund and germplasm access; and a proposal for a fourth International Technical Conference on Plant Genetic Resources. Proposal later introduced into Fourth Session of the FAO Commission;
- published "The Seed Map", later used in a kit for Canadian schools;
- RAFI Board member, Meleku Woreda named as Chair of FAO Commission on Plant Genetic Resources;
- concluded the Keystone Dialogue through participation in second plenary, and was sole NGO participant in intergovernmental negotiations held in Stockholm on the Keystone proposals. Proposals endorsed by key UNCED negotiators present; participated in concluding Keystone sessions on intellectual property (Rom), institutional reform (Uppsala), and the closing plenary in Oslo. Achieved agreement on a "Global Initiative on Plant Genetic Resources", including a $300 million annual fund;
- participated with SEARICE, the Dag Hammarskjold Foundation, government representatives, and other NGOs in a Bangkook seminar on genetic resources policy and conservation;
- in Africa, helped governments in a review of national legislation relating to living materials, briefed governments on issue;
- with Inter Pares, organized first-ever Lusophone workshop on genetic resources for Portuguese speaking African NGOs and governments;
- received the Judges World Hunger Year Book Award for "Shattering";
- with CLADES, attended UNCTAD VIII in Colomibia regarding economic and aid aspects of biodiversity; discussed UNCED with Third World trade and development ministers; proposed language on Farmers' Rights and Informal Innovation Systems adopted by UNCED PrepCom in New York; in preparation for UNCED brief on government and NGO delegations; assisted in preparing document presented at UNCED by Sweden; supplied briefing papers to NGOs attending UNCED;
- invited to address CIMMYT in Mexico, and ICARDA and ICRISAT in the Philippines; agreed with CGIAR to hold regional dialogues between NGOs and IARCs in Africa, Asia and Latin America; addressed IPBPR staff and discussed joint programming on the conservation of genetic resources; participated in ICRISAT conference on aquatic genetic resources (Manila);
- established office in Queensland, Australia; developed concept of Biotechnology Early Response System for impacts of high technology on Third World farmers;
- during the Keystone Dialogue, the UNCED process, and at FAO, promoted the importance of indigenous knowledge in plant breeding and conservation of seed stocks;
- promoted South-South collaboration through training of SEARICE personnel in Addis Ababa.
RURAL ADVANCEMENT FOUNDATION INTERNATIONAL

BALANCE SHEET
AS AT AUGUST 31, 1992

**ASSETS**

**CURRENT ASSETS**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
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<tbody>
<tr>
<td>Cash</td>
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<td>Subsidy receivable – Canadian International</td>
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**FIXED ASSETS (note 3)**

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**LIABILITIES AND SURPLUS**

**CURRENT LIABILITIES**

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**SURPLUS**

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<tr>
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|                                                  | $30,755 |

*The accompanying notes are an integral part of the financial statements.*

ON BEHALF OF THE BOARD
# RURAL ADVANCEMENT FOUNDATION INTERNATIONAL

## REVENUE AND EXPENSES
FOR THE INITIAL YEAR ENDED AUGUST 31, 1992

<table>
<thead>
<tr>
<th></th>
<th>Administration</th>
<th>CGIAR Liaison</th>
<th>Seeds of Survival</th>
<th>Plant Genetic Resource</th>
<th>Bio Technology</th>
<th>Bio Action</th>
<th>IARC Education</th>
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<td>29,888</td>
<td>4,392</td>
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<td><strong>Total</strong></td>
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<td>41,712</td>
<td>27,370</td>
<td>11,260</td>
<td>29,888</td>
<td>4,392</td>
<td>33,939</td>
<td>245,596</td>
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## EXPENSES

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<th>Plant Genetic Resource</th>
<th>Bio Technology</th>
<th>Bio Action</th>
<th>IARC Education</th>
<th>Total</th>
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<td>914</td>
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## EXCESS OF REVENUE OVER EXPENSES

$5,314

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RURAL ADVANCEMENT FOUNDATION INTERNATIONAL

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ON BEHALF OF THE BOARD
RAFI Board of Directors

Sven Hamrell, President, Uppsala, Sweden. For 30 years has directed the Dag Hammarskjold Foundation. Has chaired the RAFI Board since its founding. Member of the RAFI-USA board.

Tim Brodhead, Treasurer, Montreal, Canada. Vice-President and Chief Operating Officer, J.W. McConnell Family Foundation. Most recently, Executive Director of the Canadian Council for International Cooperation, Canada’s umbrella grouping of international NGOs.

Erna Bennett, Katoomba, NSW, Australia. Plant geneticist and journalist, founded FAO’s Crop Ecology Unit in the 1960s.

Erskine Childers, Roosevelt Island, NY, USA. Director of Information for UNDP. Has co-authored three major studies on leadership in the UN System.

Anwar Fazal, Penang, Malaysia. Past president of the international Organization of Consumers’ Unions and Founding Director of its Asia and Pacific Office.


Camila Montecinos, Santiago, Chile. Biopoverty coordinator for CLADES. Coordinates training seminars in Latin America on genetic resources conservation, intellectual property rights, biotechnology, and institutional strategies for change in the region.

Dan Pollitt, Bethesda, MD, USA. Kenan Professor of Law (retired), University of North Carolina at Chapel Hill. Long-time activist and board member of the American Civil Liberties Union. Founding member of RAFI-USA.


Ursula Schulz-Dornburg, Hanover, Germany. Long history of work within the NGO community in Europe and extensive experience in biodiversity issues. Member of the jury of the Right Livelihood (“Alternative Nobel”) Award, 2001.

Henri Vinton, New Iberia, LA, USA. President of the board of the Southern Research and Development Corporation. Founding member of RAFI-USA.

Kathryn Waller, Savannah, GA, USA. Executive Director of Rural Advancement Fund/National Sharecroppers Fund (1978 to 1989). Founded and directed the North Carolina Hunger Coalition. President and founding member of RAFI-USA.

Melaka Mekdes, Addis Ababa, Ethiopia. Director of the Ethiopian Gene Bank since shortly after its formation in 1977. Received the Alternative Nobel Prize in 1989 for pioneering work in germplasm conservation and improvement.

RAFI Southern NGO Partnerships

AFRICA
PGR/C Plant Genetic Resources Centre/Ethiopia
SS Seeds of Survival (Africa)
AACC All African Conference of Churches (Kenya)
ELCI Environmental Liaison Centre International (Kenya)
ENDA Environment and Development Association (Senegal)
EDA Environment and Development Agency (South Africa)

ASIA
SEARICE Southeast Asian Regional Institute for Community Education (Philippines)
TREE Technology for Rural and Ecological Enhancement (Thailand)
AG Alternative Agriculture Group (Thailand)
PAN Pesticides Action Network (Indonesia)
YSS Yosuyasan Social Sidomukti (Indonesia)
GSS Gami Seva Sevana (Sri Lanka)
Sunflower (Bangladesh)
FRC Farmers Forum for Rural Concerns (Philippines)
KMP Philippine Peasant Movement (Philippines)
IDEAS Institute for the Development of Educational and Ecological Alternatives (Philippines)

LATIN AMERICA
CLADES Latin American Consortium for Agro-Ecological Development
INDES Instituto de Desarrollo Social y Promocion Humana (Argentina)
CPCC Centro de Promocion Campesina Cordillera (Paraguay)

CETEC Centro de Educación, Capacitación y Tecnología Campesina (Paraguay)
CETEC Corporación para Estudios Interdisciplinarios y Asesoría Técnica (Colombia)
IMCA Instituto Mayor-Campesino (Colombia)
CAAP Centro Andino de Acción Popular (Ecuador)
CIED Centro de Educación y Tecnología (Chile)
AS-PRA Asociación para el Desarrollo (Peru)
IDEAS Centro de Investigación, Documentación, Educación, y Asesoramiento (Peru)
SEMTA Servicios Múltiples de Tecnologías Apropiadas (Bolivia)

RAFI’s Northern and International Affiliations

U.S.A.
Biototechnology Working Group (U.S.A.) — member
Seed Savers Exchange
Native Seeds/SEARCH

AUSTRALIA
Australian Council for Overseas Aid — advisor on biotechnology and biodiversity

INTERNATIONAL
FAO (UN Food and Agriculture Organization) Commission on Plant Genetic Resources Plant Genetic Resources — observer status
FAO Conference — observer status
FAO Inter-Governmental Group of Experts for International Technical Conference — member
United Nations Conference on Trade and Development — observer status
World Council of Churches Committee on Society
Agricultural Missions — RAFI representative on Board of Directors
Third World Patenting Convention — member
International Board for Plant Genetic Resources — advised on strategic plan
Consultative Group on International Agricultural Research — co-hosting regional consultations
GRAIN — Genetic Resources Action International
PAN — Pesticides Action Network International

SOME OTHER ACRONYMS USED IN THE REPORT
CCDOP — Canadian Catholic Organization for Development and Peace
CIDA — Canadian International Development Agency
CIMMYT — Centro Internacional de Mejoramiento de Maíz y Trigo
FAO — United Nations Food and Agriculture Organization
ICLARM — International Centre for Living Aquatic Resource Management IRRI — International Rice Research Institute
NGO — Non-Governmental Organization
UNCED — United Nations Conference on Environment and Development
UNCSTD — United Nations Centre for Science and Technology for Development
UNCTAD — United Nations Conference on Trade and Development

RAFI
INTERNATIONAL OFFICE
SUITE 504 – 71 BANK STREET, OTTAWA ON
K1P 5N2
Tele: 1-613-567-6889
E-Mail: rafican@web.apc.org

JEAN CHRISTIE
Director of Int’l Liaison

BEVERLY CROSS, Office Manager

ASSOCIATE: RAFI-USA
Contact: Hope Shand
Director of Gene Risk Resources/Immunotechnology Program

STAFF:
Pat Mooney, Executive Director
Ken Shipley, Director of Operations